



法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度聲明書 Statement of Internal Controls in relation to AML/CFT

謹代表法商法國外貿銀行台北分行(亦兼營證券業務-債券承銷)聲明本銀行於114年1月1日至114年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報亞太區域中心。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Natixis Taipei Branch (“the Bank”, also concurrently operating securities business – bond underwriting), we hereby undertake that from 1 January 2025 to 31 December 2025, the Bank has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the APAC Regional Office. Following prudent evaluation, it is found that except for items listed in the attached “Enhancement Items and Improvement Plan for AML/CFT Internal Control System”, each unit of the Bank has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹 致

金融監督管理委員會

The Statements is submitted to the Financial Supervisory Commission

Statement by

在臺負責人：邱明億

Responsible Person in Taiwan

Signed by:

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(簽章)

負責臺灣區稽核業務之主管：Demis Thomas
Regional Audit Head in charge of Taipei Branch

Signed by:

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(簽章)

防制洗錢及打擊資恐專責主管：胡恩嘉
Chief AML/CFT Compliance Officer

Signed by:

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(簽章)

中 華 民 國 115 年 3 月 4 日



法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

Natixis Taipei Branch

Enhancement Items and Improvement Plans for AML/CFT Internal Control System

(基準日：114 年 12 月 31 日)

(As at : 31 December 2025)

應加強事項 Enhancement Items	改善措施 Improvement Measures	預定完成改善時間 Planned Completion Date
<u>無</u>	<u>無</u>	<u>無</u>
<u>Nil</u>	<u>Nil</u>	<u>Nil</u>