

## 法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度聲明書 Statement of Internal Controls in relation to AML/CFT

謹代表法商法國外貿銀行台北分行聲明本銀行於112年1月1日至112年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報亞太區域中心。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Natixis Taipei Branch (“the Bank”), we hereby undertake that from 1 January 2023 to 31 December 2023, the Bank has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the APAC Regional Office. Following prudent evaluation, it is found that except for items listed in the attached “Enhancement Items and Improvement Plan for AML/CFT Internal Control System”, each unit of the Bank has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹致

金融監督管理委員會

The Statements is submitted to the Financial Supervisory Commission

Statement by

在臺負責人：邱明億

Responsible Person in Taiwan

(簽章)

負責臺灣區稽核業務之主管：Demis Thomas

Regional Audit Head in charge of Taipei Branch

(簽章)

防制洗錢及打擊資恐專責主管：胡恩嘉

Chief AML/CFT Compliance Officer

(簽章)

中 華 民 國 113 年 3 月 18 日

## 法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

## Natixis Taipei Branch

## Enhancement Items and Improvement Plans for AML/CFT Internal Control System

(基準日: 112 年 12 月 31 日)

(As at : 31 December 2023)

應加強事項 Enhancement Items	改善措施 Improvement Measures	預定完成改善時間 Planned Completion Date
<p><b><u>Improvement 1</u></b></p> <p><b>2023 FEB Onsite Inspection:</b></p> <p>1 AML/CFT related non-material finding identified by FEB (e.g. periodic review of high-risk client) for remediation had been completed by Natixis Taipei Branch and filed to FEB in Aug 2023.</p> <p><b><u>加強事項 1</u></b></p> <p><b>2023 年檢查局實地檢查意見:</b></p> <p>共計一點洗防資恐相關之作業面事項 (洗錢高風險客戶之定期審查作業), 已於 2023 年 8 月回報檢查局完成改善。</p>	<p>Corrective actions were defined and implemented based on regulatory requirements or expectations.</p> <p>Complete all overdue KYC periodic review of high-risk clients.</p> <p>改善措施依法令或主管機關要求進行設計與執行。</p> <p>完成所有未於時限內結案之洗錢高風險客戶定期審查作業。</p>	<p>Completed</p> <p>已完成</p>