

Sharing Undisclosed Information with Companies within Our Group Company

Natixis Japan Securities Co., Ltd.

From June 1, 2009, amendments to the so-called firewalls regulations has come into force. The amendments will allow Undisclosed Information about a customer to be exchanged and shared among group companies when the customer has been appropriately granted opportunities to discontinue the sharing of information among group companies. In this context, we would like to exchange and share Undisclosed Information about your company among our group's companies (including our company; hereinafter referred to individually or in combination as "Our Group Company") in accordance with Article 153.2 of the Cabinet Office Ordinance regarding Financial Instruments Business ("Business Ordinance").

1. Scope of Undisclosed Information exchanged with Our Group Company

Undisclosed Information about your company that has come to our knowledge until now (indicating "Undisclosed Information" as prescribed in Article 1.4(xii) of the Business Ordinance on past transactions, the transaction schedule, and the timing of transactions, etc.; hereinafter "Undisclosed Information") and Undisclosed Information about your company that could come to our knowledge in the future.

2. Scope of Our Group Company within which Undisclosed Information is exchanged

Our Parent Company, etc. and Subsidiary Company, etc. (as prescribed in Article 15-16.1 and 15-16.2 of the Financial Instruments and Exchange Act Enforcement Ordinance)

3. Methods of exchanging Undisclosed Information

The exchange of Undisclosed Information with a group company may be made verbally, in writing, by email, or by some other method that gives access to or shares databases.

4. Methods by which the recipient manages Undisclosed Information

Our Group Company, which is the recipient of Undisclosed Information about your company, has taken the necessary steps in the management of Undisclosed Information to ensure that Undisclosed Information is not wrongly accessed or misused, by setting restrictions on access, etc.

5. Purposes of the use of Undisclosed Information by the recipient

Our Group Company, which is the recipient of Undisclosed Information about your company, may use Undisclosed Information about your company with the purpose of proper management of our business with your company and/or proposing or providing financial products or services to your company.

6. Method of managing Undisclosed Information when the sharing of the Undisclosed Information concerned with Our Group Company is discontinued

Regarding the Undisclosed Information about your company that our companies obtain after your company makes a request to discontinue the sharing of Undisclosed Information about your company with Our Group Company, our companies shall treat the information as Undisclosed Information other than Undisclosed Information that can be shared among Our Group Company (“Unshared Information”) and shall not provide Our Group Company with new Undisclosed Information about your company for the purpose of solicitation. In addition, we shall decide in advance which companies’ Unshared Information can be accessed by interlocking personnel of the sales division and other divisions that use Undisclosed Information in our companies and Our Group Company and shall take necessary measures to prevent access to other companies’ Unshared Information. However, please note that, with respect to the Undisclosed Information about your company that Our Group Company has already received from our companies, Our Group Company, which is the information recipient, shall continue to possess the information with appropriate management and shall in future solicit transactions, etc. using the Undisclosed Information concerned.

7. If your company would like us to stop provision of Undisclosed Information about your company to Our Group Company, we will do so upon receiving your notification. Please contact the following Compliance Department:

Natixis Japan Securities Co., Ltd.
Ark Hills South Tower
1-4-5 Roppongi, Minato-ku, Tokyo 106-0032
Compliance Department
Telephone number : 81-3-4519-2120