



Natixis Japan Securities Co., Ltd.

Principle for dealing with Antisocial Forces

Natixis Japan Securities Co., Ltd. is committed to securing sound basis for conduct of financial instruments business by eliminating relationship with Antisocial Forces, and implemented the “Principle for dealing with Antisocial Forces” to ensure the safety of staff and clients.

- 1 . We will not engage in any transaction with Antisocial Forces.
- 2 . We will take the appropriate measures for the dissolution of the remaining relationship in case we find any relationships with Antisocial Forces.
- 3 . We will not provide financing to Antisocial Forces.
- 4 . We will reject any undue claims from Antisocial Forces. We will take appropriate civil and criminal legal action for undue claims from Antisocial Forces.
- 5 . We will cooperate with external experts such as police, Center for the elimination of Crime Syndicate, legal counsels, etc., in preparation for any undue claims from Antisocial Forces.