



法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度聲明書
Statement of Internal AML/CFT Control

謹代表法商法國外貿銀行台北分行聲明本銀行於109年1月1日至109年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行/區域中心。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Natixis Taipei Branch (“the Bank”), we hereby undertake that from 1 January 2020 to 31 December 2020, the Bank has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the Headquarter and Regional Office. Following prudent evaluation, it is found that except for items listed in the attached “Enhancement Items and Improvement Plan for AML/CFT Internal Control System”, each unit of the Bank has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹 致

金融監督管理委員會

The Statements is submitted to the Financial Supervisory Commission

Statement by

在臺訴訟及非訴訟代理人：邱明億

Representative of litigious and non-litigious matters in Taiwan /Branch Manager

 (簽章)

負責臺灣區稽核業務之主管：Demis Thomas
Regional Audit Head in charge of Taipei Branch

 (簽章)

防制洗錢及打擊資恐專責主管：呂明媛
Chief AML/CFT Compliance Officer

 (簽章)

中 華 民 國 110 年 2 月 5 日

法商法國外貿銀行台北分行防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

Natixis Taipei Branch

Enhancement Items and Improvement Plan for AML/CFT Internal Control System

(基準日：109 年 12 月 31 日)

(Base Date: 31 December 2020)

| 應加強事項 Enhancement Items | 改善措施 Improvement Measures | 預定完成改善時間 Planned Completion Date |
|----------------------------|------------------------------|-------------------------------------|
| 無 Nil | 無 Nil | |